**LOGO**

**< ITSEF NAME>**

**Site Technical Audit Report**

**<Site Name>**

**TEMPLATE**

**COMMERCIAL IN CONFIDENCE**

|  |  |
| --- | --- |
| Required information | |
| Site | *<Name of the site>* |
| Developer | *<Name of the developer>* |
| Certification Body | *<Name of the CB>* |
| ITSEF | <*Name of the ITSEF*> |
| Initial Audit Date (STAR Creation Date) | xx/xx/xxxx |
| CB STAR approval (*optional entry*) | xx/xx/xxxx |
| End of Validation (at most 30 months from Audit Date) | xx/xx/xxxx |
| Reference/version | <*Reference and version of the document*> |
| Date | <*Date of the document*> |

Table of contents

1. Introduction 3

1.1 SITE AUDIT CERTIFICATION INFORMATION 3

2. SITE SUMMARY 4

2.1 SITE IDENTIFICATION 4

2.2 SCOPE AND SERVICES OF THE SITE 4

2.2.1 Scope 4

2.2.2 Service 4

3. SITE AUDIT EVALUATION SUMMARY AND RESULTS 5

3.1 ALC FAMILIES’ SUMMARY 5

3.2 Applicable Life Cycle Phases 5

3.3 Developer Evidence 5

3.4 Information about the previous audits (if any exist) 6

3.4.1 Re-use of previous evaluation results 6

3.4.2 Re-use of other evaluation results 6

3.5 Non-Conformities, Remarks and Observations 6

3.6 Mandatory checking for Re-use 6

1. Introduction

This document is a Site Technical Audit Report (STAR) template.

The purpose of this document is:

* to provide guidance for unified site audit results reporting across all EUCC CBs and in light of the Minimum Site Security Requirements (MSSR), and
* to support EUCC evaluation/certification in the event of ALC reuse of site audit results for product certification.

The information may be provided by referencing public documents (e.g.: ST Security Target).

The distribution of this document should be restricted on a “Need to Know” basis between:

* the developer using the site;
* the ITSEF(s);
* the CB(s).

## SITE AUDIT CERTIFICATION INFORMATION

|  |  |
| --- | --- |
| **Site Audit Certification Information** | |
| Facility Type |  |
| Classification | Common Criteria Restricted |
| CC Version | E.g.: CC:2022 |
| Methodology used | E.g.: EUCC state-of-the-Art document “Minimum Site Security Requirements” (v1.1) |
| Site Audit Evaluation Level | E.g.: ALC\_DVS.2 + ALC\_CMC.5 + ALC\_CMS.5 + AVA\_VAN.5 |
| Site Audit Date | xx/xx/xxxx |
| Context of the Site Visit Evaluation | E.g.: product evaluation or periodic cycle of site visits for certification’s program for a developer’s specific products range |
| Project Identification/Certificate Identification Number | Optional entry |
| Status of CB Validation / Approval | Optional entry |
| Full Report Reference |  |
| Sponsor/Developer Information | Provide Developer/Sponsor Name and specify the roles of main people met during the site audits. |
| Evaluation Lab Information | Provide Evaluation Lab name and evaluators name involved in the audit. |
| Certification Body Information | Provide CB name and certifiers name involved in the audit. |

1. SITE SUMMARY

## SITE IDENTIFICATION

Include the site name and description and provide the location (address).

## SCOPE AND SERVICES OF THE SITE

### Scope

Provide a complete description of all activities/services performed on the site included in the scope of the certification.

State the confidentiality classification assessed during the site audit.

Guidance: include a list of relevant site assets in terms of security for the re-use, and highlight how the site assets are protected in terms of confidentiality and integrity (these elements should be listed as part of the inputs/outputs of each service).

Provide for each service the following information.

### Service

Provide a complete description of the service employed on the site to fulfil the scope of the certification.

Include involved areas for each one of the services to allow for tracking if something has changed in the physical set-up of the site.

#### Inputs and Deliveries

Identify all logical or physical inputs/outputs or deliveries from/to the site to enable the service to fulfil scope activities, i.e., databases, files, masks, wafers, etc.

#### Connections and Dependencies

Provide a full description of any logical connections of dependencies required to maintain the service to meet the scope of the certification.

1. SITE AUDIT EVALUATION SUMMARY AND RESULTS

Provide information of the evaluation activities carried out during the site audit.

## ALC FAMILIES’ SUMMARY

Indicate ALC families included in the scope as per life-cycle definition of *<PPs to be identified>.*

|  |  |  |
| --- | --- | --- |
| **ALC Family** | **Applicable** | **Verdict** |
| ALC\_CMC.5: Advance support | YES | PASS |
| ALC\_CMS.5: Development tools CM coverage | YES | PASS |
| ALC\_DVS.2: Sufficiency of security measures | YES | PASS |
| ALC\_LCD.1: Developer defined life-cycle model | YES | PASS |
| ALC\_TAT.3: Compliance with implementation standards | Not applicable | Not applicable |
| ALC\_DEL.1: Delivery procedures | Not applicable | Not applicable |
| ALC\_FLR.3: Systematic flaw remediation | Not applicable | Not applicable |

Note: This is an example of the information expected in this section.

## 3.2 Applicable Life Cycle Phases

|  |  |
| --- | --- |
| The Site, can be involved in | Applicable |
| Phase-1 - Smartcard Embedded Software Developer | YES |
| Phase-2 - IC Developer | YES |
| Phase-3 - IC Manufacturer | YES |
| Phase-4 - IC Packaging Manufacturer | NO |
| Phase-5 - Smartcard Product Manufacturer | NO |
| Phase-6 – Personaliser | NO |
| Phase-7 - Smartcard Issuer | NO |

Note: This is an example of the information expected in this section, to be adapted depending on the site purpose. Different phases could be listed here (see e.g.: BSI-CC-PP-0056-2009).

## 3.3 Developer Evidence

Reference all relevant developer's documentation (policies and procedures), and list tools (in particular, the configuration management system) needed to confirm if the evaluation results of the site meet the product certification generic requirements or objectives.

## 3.4 Information about the previous audits (if any exist)

### 3.4.1 Re-use of previous evaluation results

Provide, if applicable, relevant Information.

### 3.4.2 Re-use of other evaluation results

Provide, if applicable, relevant Information.

## 3.5 Non-Conformities, Remarks and Observations

List re-use relevant non-conformities, remarks and observations and their status (comprising the status of the remarks from the last audit which were closed during this audit), e.g.:

Non-Conformance 001

Description

Status  CLOSED  OPEN

Non-Conformance 002

Description

Status  CLOSED  OPEN

Remarks 001

Description

Status  CLOSED  OPEN

Remarks 002

Description

Status  CLOSED  OPEN

Highlight in the section ”Mandatory Checking for Re-use” any remark to be addressed by the evaluator re-using the site audit results.

Note: exceptions in the application of MSSR should be listed and justified.

## 3.6 Mandatory checking for Re-use

Clearly specify the activities (if any) to be carried out by the ITSEF re-using evaluation to check that the site audit and its results may be re-used.